DZ-Z8 O7 PCT/PTO 27 FEB 2007 \$

Response To Notice To File Missing Parts Of Application Docket No. Filing Date Granted (PTO-1533)(Small Entity) SPR00857P00040US In Re Application Of: Graeme David Richards FEB 2 7 2007 Customer No. Group Art Unit Filing Date Examiner Confirmation No. Application March 24, 2006 unknown 38,939 unknown 5382 Invention: METHOD FOR BREEDING PLANTS Mail Stop Missing Parts **COMMISSIONER FOR PATENTS:** This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on December 27, 2006 · Date Enclosed herewith for filing are the following: A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED) An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. A properly signed oath or declaration in compliance with 37 CFR 1.63. An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. verified small entity declaration(s) is/are attached. was/were filed on ☐ A separate request for refund. Other (list): Notification of Missing Requirements Under 35 U.S.C. 371 Assignment and Recordation of Assignment Cover Sheet Declaration and Power of Attorney Fee Transmittal Change of Correspondence Address Return Receipt Postcard

	Filing Date Granted (PTO-1533)(Small Entity)						SPR00857P00040US		
	In Re Application Of: Graeme David Richards FEB 2.7 2007								
PATE	Application No.		Filing Date	Exam	iner	Customer No.	Group Art Unit	Confirmation No.	
Ì	MARRIA 3,	341	March 24, 2006	unkn	own	38,939	unknown	5382	
	Invention:	METI	HOD FOR BREEDI	NG PLANTS					
	TO THE COMMISSIONER FOR PATENTS:								
				Mail Sto	op Missing Pa	<u>rts</u>			
	☐ Completion of application fees as calculated below: ☐ Utility application basic fee								
	☐ Design application basic fee								
		Search	Fee					\$0.00	
		Examin	ation Fee					\$0.00	
		Total nu	umber of independe	nt claims =					
		Total nu	umber of claims =					<u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>	
		Multiple	dependent claims						
	\boxtimes	Surcha	rge for late payment	t of filing fee and	l/or late filing o	of original declara	ation or oath	\$65.00	
	☐ Petition and fee for filing by other than all the inventors or a person not the inventor								
		☐ Fee for processing an application filed with a non-English language specification							
	☐ Fee for processing and retention of application								
03/01	2007 LLANDGRA 00000044 042223 10573341 Total completion of application fees						\$65.00		
01 FC	:2617	65.00 DA	A			·			
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a respanding a respective control of the provision of 37 CFR 1.136(a) to extend the period for filing a respanding above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check desired). If an additional time extension is required, please consider this a petition therefor.									
		One mon	th 🗆 Two n	nonths \Box	Three month	s 🛭 Fourm	nonths \square	Five months	
	from	i:			until:				
			Date				Date		
	Total time extension fees								
							Total fees due	\$65.00	

Response To Notice To File Missing Parts Of Application

Docket No.

Filin	SPR00857P00040US								
In Re Application Of: Graeme David Richards									
Application No. Filing Date Examiner Customer No. Group Art Unit Confir									
10/573,341	March 24, 2006	unknown	38,939	unknown	5382				
Invention: MET	HOD FOR BREEDIN	NG PLANTS							
	<u></u>	O THE COMMISSIONER FO	R PATENTS:						
		Mail Stop Missing Pa	<u>rts</u>						
The fee of	•	id as follows:							
I =	he amount of the fee		av he required o	or credit any over	navment to				
	The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 04-2223								
If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 04-2223									
☐ Payment by	☐ Payment by credit card. Form PTO-2038 is attached.								
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
menueu on this form. Floride clean card imorniation and authorization on F 10-2030.									
Y 1/1/10 -									
Dated: February 27, 2007									
Lisa Mueller	, and the second								
Dykema Gossett PI 10 South Wacker D	Dykema Gossett PLLC 10 South Wacker Drive Express Mail No. EV 892825652 US								
Suite 2300 Chicago II 60606									

Chicago, IL 60606

sufficient postage as first class mall in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

Signature of Person Mailing Correspondence

Patricia A. Fossier

Typed or Printed Name of Person Mailing Correspondence



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.unpto.gov

I.A. FILING DATE

09/27/2004

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT 10/573,341

SPR00857P00040US Graeme David Richards

> INTERNATIONAL APPLICATION NO. PCT/AU04/01327

ATTY. DOCKET NO.

PRIORITY DATE

09/26/2003

32116 WOOD, PHILLIPS, KATZ, CLARK & MORTIMER 500 W. MADISON STREET

SUITE 3800 CHICAGO, IL 60661

CONFIRMATION NO. 5382 371 FORMALITIES LETTER

OC000000021689163

Date Mailed: 12/27/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 03/24/2006
- Copy of the International Search Report filed on 03/24/2006
- Preliminary Amendments filed on 03/24/2006
- Oath or Declaration filed on 03/24/2006
- Small Entity Statement filed on 03/24/2006
- Request for Immediate Examination filed on 03/24/2006
- U.S. Basic National Fees filed on 03/24/2006
- Priority Documents filed on 03/24/2006
- Specification filed on 03/24/2006
- Claims filed on 03/24/2006
- Abstracts filed on 03/24/2006
- Drawings filed on 03/24/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

• \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/573.341	PCT/AU04/01327	SPR00857P00040US

FORM PCT/DO/EO/905 (371 Formalities Notice)

JAP15 Rec'd PCT/PTO 2 7 FEB 2007

Doc Code: PTO/SB/17 (07-06)
Approved for use through 01/31/2007. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 19959 persons are required to respond to a collection of information unless it displays a valid OMB control number.

Fees pursuant to the Consultation Appropriators Act, 2005 (H.R. 4818).				Complete if Known					
				Application Nu	mber	10/573,341			
FEE TRANSMITTAL for FY 2006				Filing Date		March 24, 2006			
				First Named In	rventor	Graeme David Richards			
Applicant claims small			1 27	Examiner Nam	ne	not known			
Applicant claims small	entity Status	S. See 37 CFK	1.27	Art Unit		not kno	wn		
TOTAL AMOUNT OF F	PAYMENT	(\$) \$1	05.00	Attorney Dock	et No.	SPR008	SPR00857P00040US		
METHOD OF PAYMEN	IT (check al	l that apply)							
☐ Check ☐ Credit	Card \square	Money Order	☐ Nor	ne 🔲 Oth	er (please	e identify):			
Deposit Dep	osit Account	Number:	04-2223	Deposi	t Accour	nt Name:	Dyk	ema Gossett	
For the above-identified	deposit accou	nt, the Director is h	nereby aut	thorized to: (check	all that ap	ply)			
Charge	fee(s) indicate	d below		Chai	rge fee(s)	indicated	below, except fo	or the filing fee	
		fee(s) or any unde	rpayment	s of Cred	lit any ove	erpayments	s ·		
fee(s) un WARNING: Information on t information and authorization	nder 37 CFR 1 his form may on on PTO-20		Credit ca	rd information sh	ould not	be include	ed on this form	n. Provide credit card	
FEE CALCULATION (A									
1. BASIC FILING, SEAR				ir ming or ma	, 50 30	bject to	a salchar	,c. <i>)</i>	
BAGIOTIEMO, CEAR	FILING			CH FEES	E	EXAMINA	TION FEES	•	
A lication Tune	Fee (\$)	Small Entity	Fac. (\$)	Small Entity		'aa (4)	Small Entity	Food Doid(É)	
Application Type Utility	<u>Fee (\$)</u> 300	<u>Fee (\$)</u> 150	Fee (\$) 500	<u>Fee (\$)</u> 250	_	ee (\$) 200	<u>Fee (\$)</u> 100	Fees Paid(\$)	
	200	100	100	50		130	65		
Design Plant	200	100	300	150		160	80		
	300	150	500	250		600	300	· · · · · · · · · · · · · · · · · · ·	
Reissue Provisional	200	100	0	250		0	0		
		100	U	J		U	U		
2. EXCESS CLAIM FEES Fee Description							Fee (\$)	Small Entity Fee (\$)	
Each claim over 20 (inclu	•						50	25	
Each independent claim over 3 (including Reissues)							200	100	
Multiple dependent claims							360 Multiple	180 Dependent Claims	
Total Claims	Extra Clair	ns Fee (\$)		Fee Paid (\$)			Fee (\$)	Fee Paid (\$)	
20 or HP =			50.00	=\$0.00_					
HP = highest number of total claims paid for, if greater than 20. Indep. Claims									
-3 or HP =			00.00	= \$0.00					
HP = highest number of independent claims paid for, if greater than 3.									
APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under									
37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
Total Sheets	Extra Sh			each additional 50					
100 = /50 (round up to a whole x \$250.00 = \$0.00									
4. OTHER FEE(S) Non-English specification, \$130 fee (no small entity discount)									
Other (e.g., late filing surcharge): Recordation of Assignment (\$40.00), Late filing surcharge (\$65.00) \$105.00									
SUBMITTED BY 1.1									
Signature	m///	7		Registration No.	38,	978	Telephone	312-627-2184	

Name (Print/Type) Date Lisa Mueller February 27, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.